

12/07/01

1133 U.S. PTO number.

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PTO/SB/05 (03-01)

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. PK-US015147

First Inventor John Loyd Spence et al

Title SHALLOW METALLIC S-SEAL

Express Mail Label No.

12/07/01
871507/01
OLD 910 1821**APPLICATION ELEMENTS**

See MPEP chapter 800 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
Applicant claims small entity status.
See 37 CFR 1.27.
2. ☐ Specification (Total Pages 14)
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 4)
4. Oath or Declaration (Total Pages 1)
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
c. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
5. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

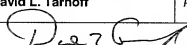
9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☒ Other: Unexecuted Declaration

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____ / _____
Prior application Information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**17. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label 22919 or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

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[Page 1 of 2]

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Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and
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10005179-120701

FEE TRANSMITTAL FORM

Attorney Docket No.: **PK-US015147**

ASSISTANT COMMISSIONER OF PATENTS

BOX: PATENT APPLICATION

Washington, D.C. 20231

Transmitted herewith for filing is the patent application of

Inventor: **John Loyd Spence et al.**

For: **SHALLOW METALLIC S-SEAL**

The filing fee for filing this patent application has been calculated as follows:

		(Col. 1)	(Col. 2)	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
FOR:		NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE					\$370.00	OR		\$740.00
TOTAL	19	- 20 =	0	x 09 =	\$		x 18 =	\$
INDEP	1	- 3 =	0	x 42 =	\$		x 84 =	\$
<input type="checkbox"/> MULTIPLE . DEPENDENT CLAIMS								
If the difference in Col. 1 is less than zero, enter "0" in Col. 2				+ 140 =	\$		+ 280 =	\$
				TOTAL	\$		TOTAL	\$

☐ A check is enclosed in the amount of \$ _____ to cover ☐ the above calculated filing fee and ☐ the \$40.00 Assignment recording fee.

☐ Please charge our Deposit Account No. **50-1836** in the amount of \$ _____ to cover ☐ the above calculated filing fee and ☐ the \$40.00 Assignment recording fee.

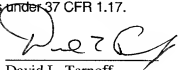
☐ Other:

☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-1836**. A duplicate copy of this sheet is attached.

☐ Any additional excess claim fees under 37 CFR 1.16.

☐ Any additional patent application processing fees under 37 CFR 1.17.

Dated: 12-7-01


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